

# First-order Model Checking on Generalisations of Pushdown Graphs

Alexander Kartzow

Universität Leipzig

June, 2012

# Generalising Pushdown Systems

1. Higher-order pushdowns: (collapsible) higher-order pushdown systems (CHOPS/ HOPS)
  2. Nested pushdown trees (NPT)
- 1+2. Higher-order nested pushdown trees (HONPT)

# Generalising Pushdown Systems

1. Higher-order pushdowns: (collapsible) higher-order pushdown systems (CHOPS/ HOPS)
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- 1+2. Higher-order nested pushdown trees (HONPT)

## Question

Decidability of first-order logic (FO) on structures generated by generalised pushdown systems?

# Pushdown Graphs and Trees

## Definition

CHOPS: Pushdown system with nested stack;  
Operations  $\text{push}_i$ ,  $\text{pop}_i$ , collapse

Fix CHOPS  $\mathcal{S}$

## Definition (configuration graph (CPG))

Graph of  $\mathcal{S}$  = reachable configurations + edges induced by transitions.

## Definition (generated tree)

Tree of  $\mathcal{S}$  = unfolding of its graph

- Node: run
- Edge: extension of run by one transition

# The FO model checking problem on $\mathcal{C}$

## Problem

*Input:*  $\mathfrak{G} \in \mathcal{C}, \varphi \in \text{FO}$

*Output:*  $\mathfrak{G} \models \varphi$

## Remark

Graphs of HOPS have decidable MSO

Graphs of CHOPS have undecidable MSO

Graphs of CHOPS have decidable  $\mu$ -calculus

## 1-CPG = PG

FO:  $\text{ATIME}(\exp(n), cn)$   
FO+Reach: nonelementary

## 2-CPG

FO: nonelementary  
FO+Reach: nonelementary

## 3-CPG

FO: undecidable

## 4-CPG

FO: undecidable

⋮

## Definition

Graph  $\mathcal{G} = (V, E)$  is *tree-automatic* if

- $\exists f : V \rightarrow R$ ,  $R$  language of a finite tree-automaton,  $f$  bijective
- $f(E)$  language of synchronous 2-tape finite tree-automaton.

## Theorem (Blumensath '99)

$\mathcal{C}$  a class of tree-automatic structures  
FO model checking on  $\mathcal{C}$ : decidable.

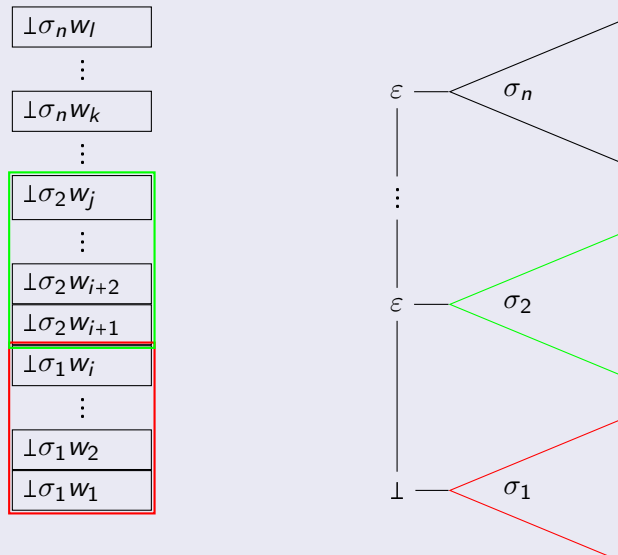
## Principle of Encoding

 $\perp\sigma_n w_l$  $\vdots$  $\perp\sigma_n w_k$  $\vdots$  $\perp\sigma_2 w_j$  $\vdots$  $\perp\sigma_2 w_{i+2}$  $\perp\sigma_2 w_{i+1}$  $\perp\sigma_1 w_i$  $\vdots$  $\perp\sigma_1 w_2$  $\perp\sigma_1 w_1$



# 2-CPG are Tree-Automatic

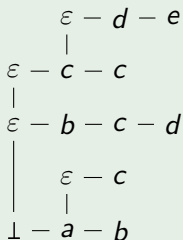
## Principle of Encoding



# Concrete Example

## Example

$\perp cde$
$\perp cc$
$\perp bcd$
$\perp ac$
$\perp ab$



## Lemma

*Tree encoding turns transitions into a language of a 2-tape synchronous automaton*

# Reachability is Tree-Automatic

## Lemma

- *Image of reachable configurations is regular*
- *Reachability is 2-tape regular*

## Theorem (Kartzow '10)

*The FO model checking problem on 2-CPG+Reach: decidable*  
*Running time of algorithm: nonelementary.*

## Remark

Even for FO only: we cannot do better  
(FO-interpret infinite binary order tree in a 2-CPG).

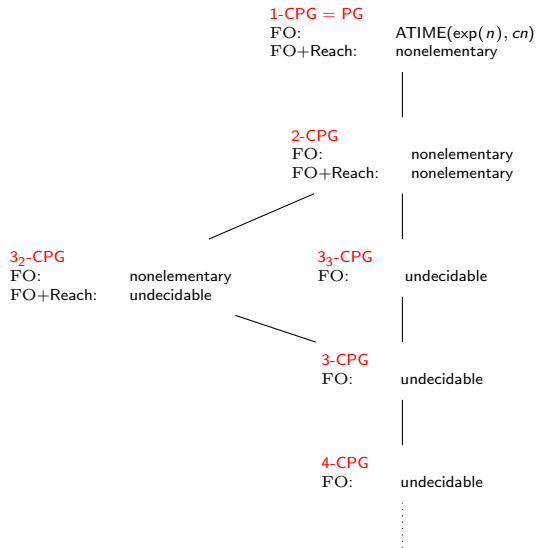
## Theorem (Broadbent'12)

*Post's correspondence problem reduces to FO model checking on 3-CPG*

## Corollary

*For  $n \geq 3$ , FO model checking on  $n$ -CPG is undecidable.*

# FO on CPG



## Motivation

Make function calls in (first-order) recursive programs visible.

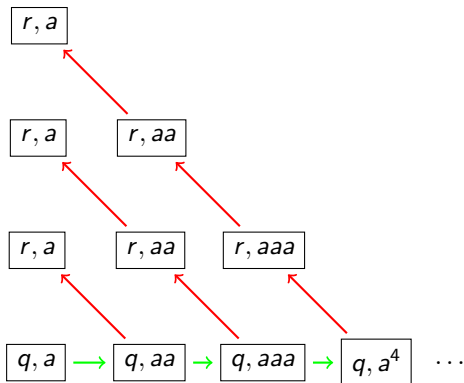
Fix a PS  $\mathcal{S}$ .

## Definition (Alur, Chauduri, Madhusudan)

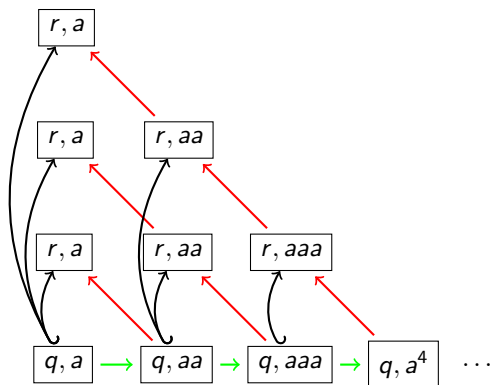
*Nested* pushdown tree (NPT) of  $\mathcal{S}$ :

- Pushdown tree, expanded by
- Jump edges: connect corresponding push and pop.

# Example of NPT

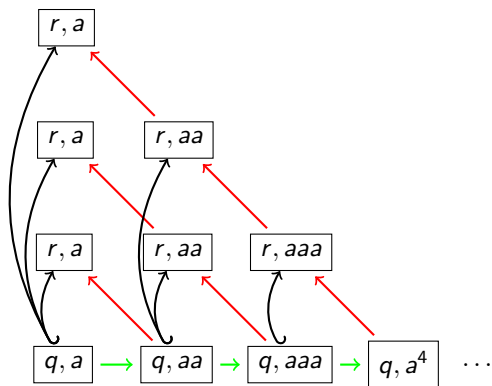


# Example of NPT





# Example of NPT



Grid is MSO-definable  $\Rightarrow$  MSO undecidable

But  $\mu$ -calculus is decidable

Concept of jump edges generalises to order  $k$ -PS:

Definition (higher-order nested pushdown tree)

HONPT: HOPT + edges between corresponding  $\text{push}_k$  and  $\text{pop}_k$ .

Note: we start with a non-collapsing system.

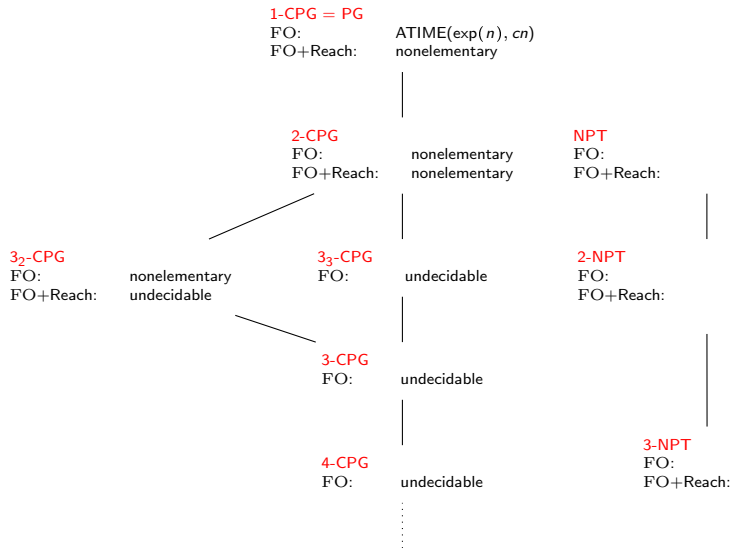
## Lemma

$\forall \mathcal{S}$  level  $k$  PS  $\exists \mathcal{T}$  level  $k + 1$  CPS such that  
 $\mathfrak{N} := \text{HONPT}(\mathcal{S})$  is FO-interpretable in the graph  $\mathfrak{G}$  of  $\mathcal{T}$ .

## Proof.

Node in $\mathfrak{N}$ :	Run $(q_1, s_1), \dots, (q_n, s_n)$
Seen as $k + 1$ -pushdown:	$\text{push}_{q_1}(s_1) : \dots : \text{push}_{q_n}(s_n)$
Edges of $\mathfrak{N}$ :	4 level $k + 1$ pushdown operations
Jump edges:	reversed collapse edges <span style="float: right;">□</span>

# FO on CPG and HONPT



# More tractable “subclass” of CPG for FO model checking

## Theorem

FO model checking on PT:  $ATIME(\exp(n), cn)$ -complete

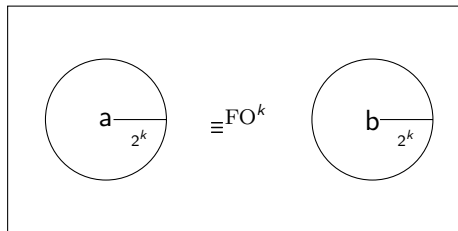
FO model checking on NPT:  $ATIME(\exp_2(n), cn)$ -complete

FO model checking on 2-NPT: decidable

## Proof(Sketch).

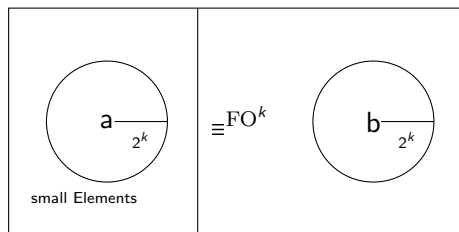
Locality analysis and pumping: short witnesses for  $\exists x \dots$  □

# Locality and Bounded Search for Witnesses



- $\mathcal{G} \models \varphi(a)$  iff  $\mathcal{G} \models \varphi(b)$

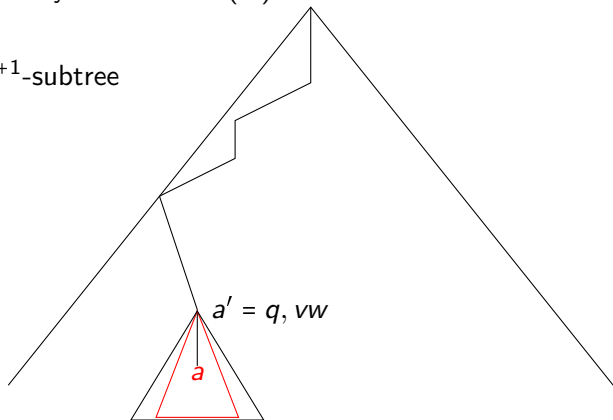
# Locality and Bounded Search for Witnesses



- $\mathcal{G} \models \varphi(a)$  iff  $\mathcal{G} \models \varphi(b)$
- Small elements:  $n$ -tuples representable in space  $f(n)$
- Small witness property:
  - $\forall \varphi(x) \in FO \quad \mathcal{G} \models \exists x \varphi \iff$  there is a small  $a \in \mathcal{G} \quad \mathcal{G} \models \varphi(a)$
  - $\mathcal{G} \models \forall x \varphi \iff$  for all small  $a \in \mathcal{G} \quad \mathcal{G} \models \varphi(a)$
- $\mathcal{C}$  a class of graphs with small witness property
  - $\Rightarrow$  FO model checking on  $\mathcal{C}$  reduces to FO model checking on finite structures of size  $\exp(f(n))$

# Locality in Pushdown Trees

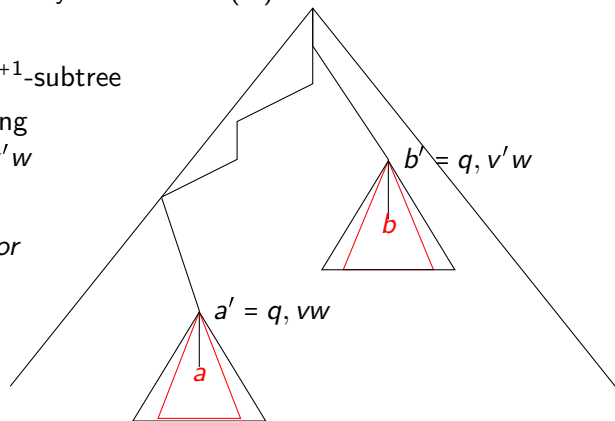
- $2^k$ -ball( $a$ ) determined by  $2^{k+1}$ -subtree( $a'$ )
- $|w| = 2^{k+1}$
- $q, w$  determines  $2^{k+1}$ -subtree





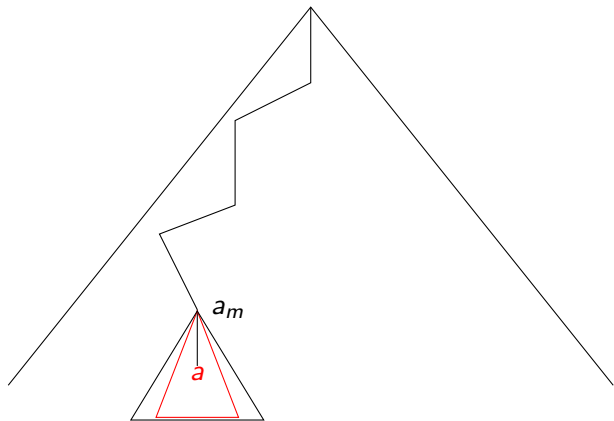
# Locality in Pushdown Trees

- $2^k$ -ball( $a$ ) determined by  $2^{k+1}$ -subtree( $a'$ )
- $|w| = 2^{k+1}$
- $q, w$  determines  $2^{k+1}$ -subtree
- context free pumping  
 $\Rightarrow \exists$  small  $b' = q, v'w$
- small:  $O(\exp(k))$
- $a'$ : *relevant ancestor*



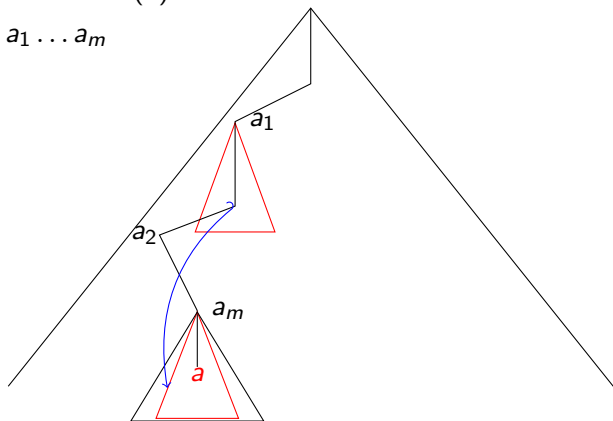
Corollary: FO model checking on PT is in  $\text{ATIME}(\exp(n), cn)$

# Locality in the 1-NPT case



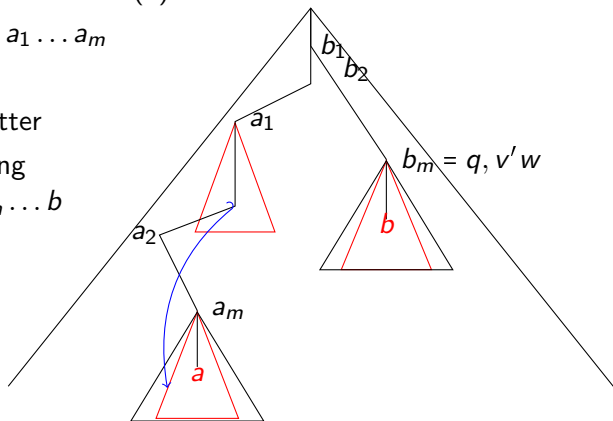
# Locality in the 1-NPT case

- jumps cause 'nonlocal'  $2^k$ -ball( $a$ )
- *relevant ancestors*:  $a_1 \dots a_m$



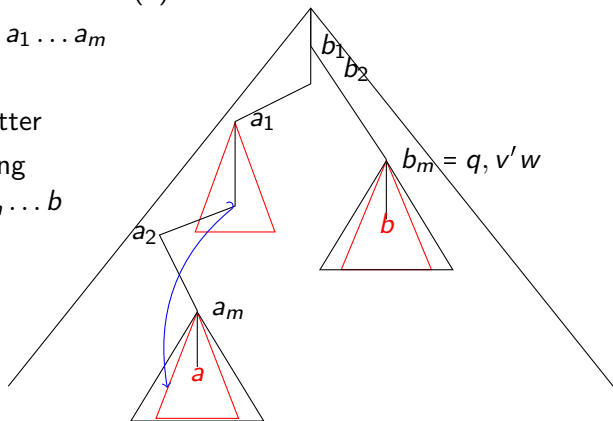
# Locality in the 1-NPT case

- jumps cause 'nonlocal'  $2^k$ -ball( $a$ )
- *relevant ancestors*:  $a_1 \dots a_m$
- $m \leq \exp_2(k)$ .
- $a_i \dots a_{i+1}$ : add 1 letter
- context free pumping  
 $\Rightarrow \exists$  small  $b_1 \dots b_m \dots b$
- small:  $O(\exp_2(k))$



# Locality in the 1-NPT case

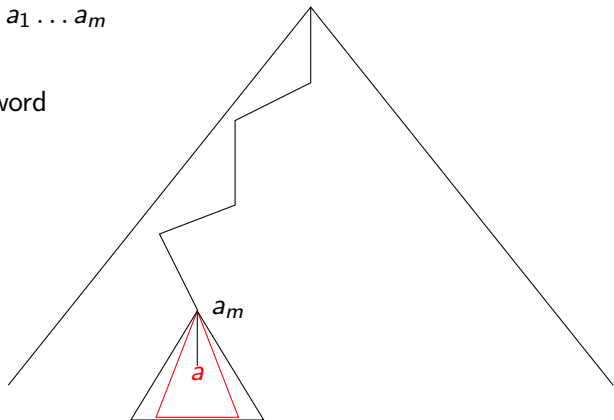
- jumps cause 'nonlocal'  $2^k$ -ball( $a$ )
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- $a_i \dots a_{i+1}$ : add 1 letter
- context free pumping  
 $\Rightarrow \exists$  small  $b_1 \dots b_m \dots b$
- small:  $O(\exp_2(k))$



Corollary: FO model checking on 1-NPT is in  $\text{ATIME}(\exp_2(n), cn)$

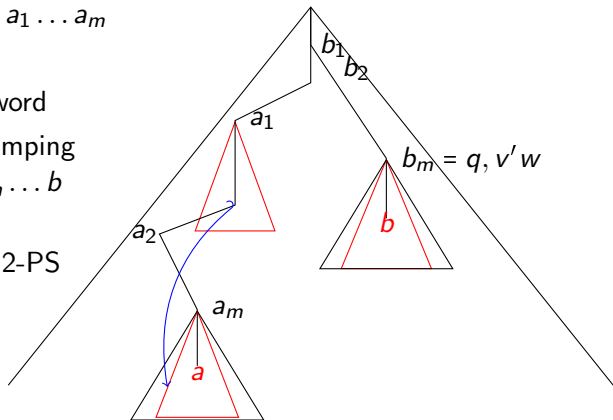
# Locality in the 2-NPT case

- jumps cause 'nonlocal'  $2^k$ -ball( $a$ )
- *relevant ancestors*:  $a_1 \dots a_m$
- $m \leq \exp_2(k)$ .
- $a_i \dots a_{i+1}$ : adds 1 word



# Locality in the 2-NPT case

- jumps cause 'nonlocal'  $2^k$ -ball( $a$ )
- *relevant ancestors*:  $a_1 \dots a_m$
- $m \leq \exp_2(k)$ .
- $a_i \dots a_{i+1}$ : adds 1 word
- iterated indexed pumping  
 $\Rightarrow \exists$  small  $b_1 \dots b_m \dots b$
- small:  $O(f(n))$ ,  
f computable from 2-PS



Corollary: FO model checking on 2-NPT: decidable

